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BIRCH STEWART KOLASCH & BIRCH  
P O BOX 747  
FALLS CHURCH VA 22040

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EXAMINER

BUI, K

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2611

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Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

# Office Action Summary

Application No.

09/155,796

Applicant(s)

YAMAGUCHI, TOMOHISA

Examiner

KIEU-OANH T BUI

Art Unit

2611

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 09 May 2001.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☐ Claim(s) \_\_\_\_\_ is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-18 and 21 is/are rejected.
- 7) ☒ Claim(s) 19 and 20 is/are objected to.
- 8) ☐ Claims \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are objected to by the Examiner.
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. § 119

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

## Attachment(s)

- 15) ☒ Notice of References Cited (PTO-892)
- 16) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 17) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_
- 18) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 19) ☐ Notice of Informal Patent Application (PTO-152)
- 20) ☐ Other: \_\_\_\_\_

**DETAILED ACTION**

***Allowable Subject Matter***

1. Claims 19-20 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims (3rd notice).

2. The following is a statement of reasons for the indication of allowable subject matter:

The prior art of record fails to suggest a video data distribution method as cited in claim 16 further comprising the steps of “wherein in the transmission level determining step, when the video data playback device plays back the video data with fast speed, the transmission level is determined in such a manner that the video data with a part of frame data thinned from plural frame data included in the video data is extracted, and when fast playback is not performed, the transmission level is determined in such a manner that the frame data of the video data is not thinned” and “wherein in the data extracting step, when the video data playback device quickly forwards and plays back the video data including plural frame data and voice data, said voice data is deleted from the video data and the number of frame data corresponding to the transmission level is extracted to generate video data, and in the transmitting step, the video data generated by said data extracting step is transmitted” as cited in claims 19-20.

***Response to Request for Reconsideration***

3. Applicant's request for reconsideration of the finality of the rejection of the last Office action is persuasive and, therefore, the finality of that action is withdrawn.

***Response to Arguments***

4. Applicant's arguments with respect to claims 1-18, and 21-26 have been considered but are moot in view of the new ground(s) of rejection.

***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

*(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.*

6. Claims 1-2, 4-9, 11-18 and 21-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Katseff et al. (U.S. Patent No. 5,822,537) in view of Dixit (U.S. Patent No. 5,260,783).

Regarding claims 1 and 11, Katseff et al (or "Katseff" hereinafter) disclose a video data distribution device (Fig. 1) which comprises: a load processing device for processing a load condition of a network or the video data distribution device, i.e., utilizing a prefetch subroutine for retrieving load condition of network such as frames worth of audio and video from a file server to store in an audio and video buffer (col. 8/lines 56-67) and a subroutine for monitoring its load statuses (Fig. 10 and col. 15/lines 15-37).

Although Katseff includes the step of decompressing JPEG data to users (col. 9/lines 9-22), Katseff does not clearly mention “a data extractor for extracting an amount of frame data from video data comprising frame data, the amount of extracted frame data corresponding to a load condition processed by said processing device; and a transmitter for transmitting the frame data extracted by the data extractor”; however, the technique of using a data extractor for extracting an amount of frame data from video data comprising frame data is known in the art. In fact, Dixit does the same technique of using an encoding selector for detecting and selecting different or relative motion between the video frame being encoded and the previous video frame over a digital communications channel such as packet switched network in order to provide data compatibility among video services over the network as desired (see Dixit, Figs 1 & 2, col. 1/lines 22-60, and col. 2/lines 8-21). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Katseff’s system with Dixit’s data encoding selector in order to detect and select an appropriate amount of frame data from video data comprising frame data corresponding to a load condition processed by the processing device as desired. The motivation for doing this is to offer necessary means for extracting an amount of frame data receiving from the previous step for video data processing purposes.

As for claim 11, in further view of claim 1, Katseff discloses to include “a load measuring device for measuring a load condition of a network or the video data distribution system”, i.e., a data buffer monitoring subroutine in the processing unit continuously monitors the load of the network based on predefined threshold level (Katseff, Fig. 10 and col. 15/line 15-col. 16/line 57). Furthermore, Dixit suggests to include “a video data distribution device comprising a data extractor” (as discussed in claim 1 above) and “a video data playback device for receiving the frame data transmitted from the transmitter of said video data distribution device via said network and playing back the received frame data” (Figs. 10-13 all, and col.

13/line 5 to col. 14/line 3 for illustrations of video data playback device in displaying different resolutions according to different scenarios).

As for claim 2, in view of claim 1 above, the step of “wherein based on the load condition processed by said processing device, the data extractor extracts all of the frame data comprised within the video data when the load is low, and extracts a part of the frame data comprised within the video data when the load is high” is suggested by Katseff as Katseff discloses that when the load is extreme, the system will transmit only audio data, without any video data, to the user at the workstation (Katseff, col. 2/lines 56-64) which is clearly an indication that part of the frame data, i.e., using JPEG format with video frames (col. 9/lines 1-22) is transmitted only, not all of the frame data.

As for claim 4, in further view of claim 1 above, the step of “wherein the video data comprises intra-frame compressed frame data and inter-frame compressed frame data, the data extractor extracts the video data with inter-frame compressed frame data deleted therefrom from the video data having intra-frame compressed frame data and inter-frame compressed frame data based on load condition processed by the load processing device, and the transmitter transmits the video data extracted by the data extractor” is taught by Dixit as Dixit discloses that intra-frame compressed frame data and inter-frame compressed data differ each other in their encoded amount (Dixit, col.2/lines 1-8, and Figs. 14A & 14B) and the inter-frame compressed frame data is the video data encoded using the intra-frame compressed frame I or the inter-frame compressed frame P, i.e., using the video information in that frame and the video information from previous frames (Dixit, col. 4/lines 48-53).

As for claim 5, Katseff further discloses “wherein the video data is MPEG data” (col. 7/lines 10-12).

As for claim 6, similar to claim 4 above, the step of “wherein the MPEG data comprises I pictures and P pictures, and the data extractor generates the MPEG data with P picture deleted therefrom in accordance with the load condition processed by the load processing device” is taught by Dixit as Dixit shows that intra-frame compressed frame data and inter-frame compressed data differ each other in their encoded amount and the inter-frame compressed frame data P is the video data encoded using the intra-frame compressed frame I or the inter-frame compressed frame P (see claim 4 above), and Dixit uses an encoding selector as a motion detector to detect, select, and extract the inter-frame compressed data P out (as illustrated in Figure 2, and col. 2/lines 8-21).

As for claims 7 and 8, the steps of “wherein the MPEG data comprises I pictures and B pictures, and the data extractor generates MPEG data with B picture deleted therefrom from MPEG data having I picture and B picture in accordance with the load condition processed by the load processing device” and “wherein the MPEG data comprises I pictures, P pictures, and B pictures, and the data extractor generates MPEG data with P picture and B picture deleted therefrom from MPEG data having I picture, P picture and B picture in accordance with the load condition processed by the load processing device” are suggested by Dixit as Dixit reveals that intra-frame compressed data I can be detected for extracting by an intra-frame encoder (Dixit, Fig. 2 and col. 2/lines 5-8).

Concerning claim 9, Dixit further suggests to include “wherein the MPEG data comprises a plurality of I pictures, and the data extractor extracts plural I pictures from MPEG data having plural I pictures at intervals corresponding to the load condition processed by the load processing device” as Dixit addresses load conditions processed by the load processing device on the network such as the issues of transmission efficiency, the sensitivity of the overall video quality, different modes in encoding techniques, and/or the transmission priority under the network

congestion control (see col. 2/line 66-col. 3/line 10; col. 6/lines 22-40; col. 7/lines 15-25, lines 52-65; and col. 10/lines 21-43).

As for claim 12, Dixit discloses “wherein the load measuring unit measures a load of a processor for controlling operation of the video data playback device”, i.e., network congestion control is provided (col. 2/line 66-col. 3/line 10).

Regarding claim 13, the combination of Katseff and Dixit does reveal that the system can be connected to a VCR and recording media (etc.) in the network (Katseff, Fig. 3/items 325 & 330) which suggests more than one VCR can be utilized same as the step of “wherein a plurality of video data playback devices are connected to the network” and the step of “frame data transmitted from the transmitter of the video data distribution device via said network is received by each of said plurality of video data playback devices” are suggested by Katseff as Katseff reveals that his system is a multimedia information retrieval system which connected to either a LAN or WAN (col. 3/lines 58-67) that allows to be accessed and shared by a plurality of users as well as with a plurality of file servers for distributing multimedia files (col. 4/line 65-col. 5/line 5).

As for claim 14, the step of “wherein the video data playback device transmits a plurality of data transfer requests in which each data transfer request designates a data amount to the video data distribution device, and upon receiving said data transfer requests, the video data distribution device transmits frame data based on the data amount designated by each data transfer request” is suggested by Dixit as Dixit discloses the technique of detecting the video motion, monitoring the data amount, adjusting the rate and also adjusting the amount of output frame data using the motion detector as well as the network congestion control in handling same task as claimed (Dixit, col. 2/line 1-col. 3/line 29).



Concerning claim 15, Dixit further suggests “wherein the video data playback device transmits a data transfer request in which video data is designated, and upon receiving said data transfer request, the video data distribution device transmits a plurality of packets having a portion of the frame data of said video data at predetermined intervals”, i.e., packets are transmitted under an ATM switch at predetermined intervals by using fixed length codewords for transferring video information (col. 2/line 47 to col. 3/line 28).

Regarding claim 16, in view of claim 1 above, the combination of Katseff and Dixit teaches a video data distribution method (Katseff, Figs 1 & 3) which comprises: transmission level determining step of determining a transmission level in accordance with a load of a video data distribution system (Katseff, Fig. 10 and col. 15/lines 1-65); a data extracting step of extracting an amount of frame data from video data comprising frame data corresponding to the transmission level determined by said transmission level determining step (see Examiner’s discussion in claim 1 above); and a transmitting step of transmitting the frame data extracted by said data extracting step, said extracting step and said transmitting step being performed within a video data distribution device (Dixit, Fig. 2, and col. 4/line 56-col. 6/line 40).

As for claims 17 and 18, these claims are rejected in the scope of claims 12 and 16 for claim 17 and claim 11 for claim 18 as already discussed above.

Regarding claims 21-26, Katseff discloses “wherein the load processing device processes a load condition of a network by measuring a degree of congestion of network” (Katseff, Network congestion, col. 14/line 55-col. 16/line 57); and further steps including “which is transmitted from a video playback device”, “the data extractor extracts a reduced number of frames of the frame data comprised within the video data”, about “P pictures and B pictures”, “the load measuring unit is contained within the video distribution device or with the video playback device” and “the video playback device transmits the measurement result of the load

measuring unit to the video data distribution device” are rejected in the scope of claims 1-2, 4-9 and 11-16 as already disclosed in details above.

7. Claims 3 and 10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Katseff (U.S. Patent No. 5,822,537) in view of Dixit (U.S. Patent No. 5,260,783) and Takahashi (U.S. Patent No. 5,739,865).

Regarding claim 3, Katseff and Dixit do not disclose to include the thinning process for frame data such that “wherein the data extractor extracts an amount of frame data by thinning frame data from the frame data comprised within the video data based the load condition processed by the load processing device” as claimed; however, Takahashi teaches a same technique of thinning out frame data in Takahashi’s image processing system (Fig. 14 and col. 10/lines 30-43). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Katseff and Dixit’s combination system with a known technique of thinning out frame data in video or image processing system in order to automatically adjust frame data corresponding to its load condition as obtained in the previous process of the load processing. The motivation for doing this is to manipulate frame data as much as possible.

As for claim 10, in further view of claim 3, the combination of Katseff and Dixit show to further comprises an encoder (Dixit, Fig. 1/item 50) for encoding image signals from a video camera in real time, i.e., capturing a meeting or presentations by using a camera in real time (Katseff, Fig. 3/item 330 and col. 6/lines 35-44) and generating video data having plural frame data (Dixit, col. 1/line 65 to col. 2/line 21); and a buffer for temporarily storing the video data generated by the encoder (Katseff, Fig. 10, and col. 2/lines 45-55), wherein by thinning frame data from the frame data comprised within the video data stored in buffer, the data extractor extracts an amount of frame data from said video data based on the load condition processed by the load processing device (see Examiner's discussion in claim 3 above).

**Conclusion**

8. **Any response to this action should be mailed to:**  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**or faxed to:**

(703) 872-9314, (for formal communications intended for entry)

**Or:**

(703) 308-5399, (for informal or draft communications, please label  
"PROPOSED" or "DRAFT").

*Hand-delivered responses should be brought to Crystal Park II, 2121 Crystal Drive, Arlington, VA, First Floor (Receptionist).*

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Krista Kieu-Oanh Bui whose telephone number is (703) 305-0095. The examiner can normally be reached on Monday- Friday from 9:00 AM to 6:00 PM, with alternate Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Faile, can be reached on (703) 305-4380.

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Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 306-0377.

Krista Bui  
Art Unit 2611  
June 13, 2001



ANDREW FAILE  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2600